FAQ’s – DGMS

Q.1 Where one can find the Approval policy of DGMS?
Ans:- Approval policy is available in the Public Portal and can be viewed & downloaded from the DGMS website www.dgms.gov.in.

Q.2 From where application form can be downloaded?
Ans:- Application form is available in the Public Portal and can be downloaded from the DGMS website www.dgms.gov.in

Q.3 Whether the applicant can directly fill the application form?
Ans:- No, first of all, the applicant first has to register his company in the DGMS website and then after can fill & submit the application.

Q.4 Whether applicant has to register every time for different equipment/apparatus?
Ans:- No, one time registration is sufficient for an applicant the applicant has to register once only.

Q.5 How the applicant can register in website of DGMS (www.dgms.gov.in)?
Ans:- Applicant has to click Approval Tab in the DGMS website, then click Approval & then click External login and then applicant can register in the DGMS online portal.

Q.6 Whether soft copy of necessary enclosures is only to be submitted?
Ans:- The Hard Copy also to be submitted in addition to online submission of soft copy.

Q.7 Whether in case of Renewal also the applicant has to apply online?
Ans:- Yes. Further details of different timelines for submission are specified in the approval policy

Q.8 What is the R & D initiative of S&T Section of DGMS?
Ans:- Ans.: Deals with protocol for clearance for undertaking R&D project in mines. To facilitate technological development, such clearances is being issued as per protocol framed for issuing clearance for undertaking R & D project in Indian mines. Such R & D project may be undertaken by Academic institutions of repute, R & D organizations recognised by Government of India, eminent research institutes.

Though clearance for such R & D project is not within the purview of the approval policy of the DGMS prescribed for products which are commercially marketed by the manufacturers for use in mines.

Recently two (02) R & D project approval is granted for field trails such as;

1. “Indigenous development through the Earth (TTE) two way voice communication system for underground mines”.
2. “Underground Mine Communication and Trapped Miners Location System”.

Q.9 How to apply for equipment approvals in ONLINE system?
Ans:- Please go through the web site dgms.gov.in and login in approval as external login. A page will open with option NEW APPLICATION, fill the application with all required detail and submit it.

Q.10 Whether DGMS approvals are required for use of equipment in metal mines/oil fields?
Ans:- Whether DGMS approvals are required for use of equipment in metal mines/oil fields.

Q.11 For open cast coal mines DGMS approvals are required?
Ans:- No DGMS approval is required for any electrical equipment for use in open cast coal mines.

Q.12 How to get DGMS approval?
Ans:- Please go through the DGMS approval policy, available in NET.

Q.13 Whether field trial is mandatory?
Ans:- DGMS approvals are accorded to any electrical equipment, based on the field trial performance of the equipment. Hence field trial is mandatory.

Q.14 What are the documents required for field trial permission?
Ans:- All the details regarding factory infrastructure, authorization of Indian agent, Test certificate, NOC etc; required for field trial are mentioned in the approval policy of DGMS.

Q.15 BIS certification is mandatory for approval?
Ans:- BIS certification is mandatory for Indian manufacturers; if certification is under process then field trial may be issued on the basis of factory inspection report. Regular approval will be accorded on submission of valid BIS license only.

Q.16 Who should be the Indian Agent in case of foreign manufacturer?
Ans:- It is clearly mentioned in the approval policy. Please go through the DGMS approval policy by accessing the web site dgms.gov.in.

Q.17 Who will fix the mine for field trail and what are the criteria?
Ans:- Applicant will fix the mine (underground coal mine) for the field trial.

Q.18 What are the latest standards for electrical items for DGMS APPROVAL?
Ans:- Latest standards for electrical items are as following;
   a. IS/IEC/EN 60079-0 (General requirement of explosive atmosphere)
   b. IS/IEC/EN 60079-1 (FLP features)
   c. IS/IEC/EN 60079-11 (Intrinsically safe features)
   d. IS/IEC/EN 60079-7 (Increased safety)

Q.19 Who will submit performance report of the equipment for renewal?
Ans:- Any User (Coal mine owner), where equipment was supplied during last renewal period, will submit performance report of the equipment for renewal of the approval of the same.

Q.20 What is the renewal period in case of nil supply?
Ans:- Approvals of Electrical items will be renewed for two years in case of nil supply and in case of supply and satisfactory performance report it will be renewed for five years.

Q.21 Whether during renewal BIS license is mandatory?
Ans:- Renewal will be issued on submission of valid BIS license only.

Q.22 What is the validity of field trial?
Ans:- Field trial validity is one year from the date of issue of field trial permission, but there is provision of extension of validity of it, if reason of delay is genuine.

Q.23 What are the documents required for renewal of foreign equipment?
Ans:- In case of Renewal of foreign equipments, valid QAR/QAN of the manufacture is required.

Q.24 Whether field trial permission, approval and renewal are issued ON LINE?
Ans:- All the field trial permission, approval and renewal are issued ON LINE and are send to applicant through register mail.

Q.25 Whether all mechanical machinery deployed in Mines require Approval from DGMS?
Ans:- No. Only the Equipment, materials, appliances and Machinery mentioned in Approval Policy requires prior approval from Chief Inspector of Mines.

Q.26 Once approval is obtained from DGMS for Mechanical equipment / Machinery, is it necessary to obtain separate permission for its use in Mines?
Ans:- Yes. Separate permission for use of such equipment / Machinery as required under relevant provisions of the Regulations need to be obtained from appropriate authority of DGMS prior to putting such equipment / Machinery into use in the Mines.

Q.27 Can I submit offline application for approvals / Exemptions?
Ans:- No. Only online mode application for approval / exemptions is accepted.

Q.28 How many sets of Hard copies of the application need to be submitted after submission of online application for approval?
Ans:- Only one set of Hard copy required to be submitted.

Q.29 Is grant of Approval is automatic after completion of Field trial Period?
Ans:- No. All conditions given in the Field Trial Approval is required to be complied and online application need to be submitted for approval along with required documents three months prior to expiry of validity of the Field Trial Approval. This Three months period is applicable for all kind of renewal / extensions.

Q.30 What is the role and function of Occupational Health cadre in DGMS?
Ans:- Occupational Health cadre formerly known as Industrial Hygiene cadre was constituted as Medical Inspectorate in 1942 to administer the health and welfare provisions under Mines Act and Rules. The work of the officers in Occupational Health Cadre in Directorate General of Mines Safety relates to the enforcement of the provisions of Mines Act relating to the health, first aid, sanitation and welfare in mines; overseeing the medical surveillance exercised by the mines management; acting as secretary / convener of various medical boards under the statutes.

Q.31 What are the notified occupational diseases under the Mines Act, 1952?
Ans:- The following diseases have been notified as diseases connected with mining operations:
<table>
<thead>
<tr>
<th>Sl No.</th>
<th>Disease</th>
<th>Cause</th>
<th>Date of Notification</th>
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<tbody>
<tr>
<td>1</td>
<td>Silicosis</td>
<td>Inhalation of airborne respirable dust while drilling, sorting, sizing, crushing, loading and transportation of rock/mineral in mines. Lungs are affected</td>
<td>S.R.O. 1306, dated the 21st July, 1952</td>
</tr>
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<td>2</td>
<td>Pneumoconiosis</td>
<td>Same as above in coal mines due to coal dust. Lungs are affected</td>
<td>-Do-</td>
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<tr>
<td>3</td>
<td>Manganese Poisoning (Nervous Type)</td>
<td>Same as above in manganese mines due to manganese dust. Nervous system is affected</td>
<td>S.R.O. 3109, dated the 18th December, 1956</td>
</tr>
<tr>
<td>4</td>
<td>Asbestosis</td>
<td>Same as above due to asbestos dust in asbestos mines Lungs are affected</td>
<td>S.O. 2521, dated the 26th June, 1986</td>
</tr>
<tr>
<td>5</td>
<td>Cancer of lung or the stomach or the pleura and peritoneum (i.e. mesothelioma)</td>
<td>Same as above due to fibrous dust particles. Lungs or Stomach is affected.</td>
<td>-Do-</td>
</tr>
<tr>
<td>6</td>
<td>Noise Induced Hearing Loss</td>
<td>Due to excessive exposure of noise near machinery and plants in mines. Hearing impairment.</td>
<td>S.O. 399(E), dated 21st February, 2011</td>
</tr>
<tr>
<td>7</td>
<td>Contact Dermatitis caused by direct contact with chemical.</td>
<td>Handling of chemicals in mines, stores, plants, workshops etc in mines. Skin of Hands, legs, parts of bodies are affected.</td>
<td>- Do-</td>
</tr>
<tr>
<td>8</td>
<td>Pathological manifestations due to radium or radioactive substances</td>
<td>Due to radiation while working in mines and plants of radioactive minerals/materials. Parts of the bodies, internal/external organs are affected</td>
<td>- Do-</td>
</tr>
</tbody>
</table>

Q.32 What is the procedure to apply for Appellate Medical Board?
Ans: The procedure to apply for Appellate Medical Board is given in DGMS Circular No. DGMS(Tech)(S&T) Circular No.07 of 2015, dated 23.09.2015, the said circular is available at DGMS Library → External Section → DGMS Circular → DGMS Circulars-2015 in DGMS Website (www.dgms.gov.in).

Q.33 Questions On Age proof documents:
Ans: Applicant shall submit the SSC/ Matriculation Certificate or SSC/ Matriculation Mark sheet or Intermediate/Higher Secondary Certificate, having details of date of birth mentioned in it, as age proof document. Other certificates or documents such as Provisional Certificate / Transfer Certificate / Migration Certificate, etc., shall not be considered as age proof.

Q.34 Questions On Applicant’s Name, Father’s Name:
Ans:- The name of Applicant and his Father’s name in application shall be the same as mentioned in his SSC/Matriculation Certificate.

- In case of an application or any document (submitted with the application), having minor differences in Applicant’s name and/or his Father’s name (as compared to his SSC/ Matriculation Certificate), the Applicant shall have to submit an affidavit for the same, duly signed by Competent Authority along with his seal or Notified it in Government Gazette.

Q.35 Questions On Applicant’s Photograph:
Ans:- Applicant shall submit and/or affix, an attested, recent passport size coloured photographs, with the application.

- Name of the Applicant shall be clearly written/printed, in front side of the photograph (on the top).
- The attestation on the photograph shall be done in such manner that the face and the name of the Applicant on the photograph are visible.
- Photograph shall be duly attested by a Gazetted Officer/ Principal/ Head of the Institution/ Mine Manager. Self-attested photographs shall not be accepted.
- Old, black & white and unclear photographs shall not be accepted.

Q.36 Questions on Academic Qualification:
Ans:- Academic Qualification Certificates (such as SSC/ Matriculation Certificates, Senior Secondary School Certificate / Higher Secondary School Certificate / Intermediate Certificate / 12th Standard or Other Equivalent Certificate, Diploma Certificate, Degree Certificate or Other Equivalent Qualifications) shall be considered valid only if they are issued by a Board/ University approved for the respective purpose by the Government.

- Mark List / Provisional Certificate / Transfer Certificate / Migration Certificate submitted as an Academic Qualification, shall not be considered valid.

Q.37 Questions On First Aid Certificates:
Ans:- In case of applications for Statutory Certificates on exemption basis, the First Aid Certificate shall be accepted if it’s valid on the date of receipt of applications in this Directorate.

- In case of applications for Statutory Certificates on examination basis, the First Aid Certificate shall be accepted if it’s valid till the date of examination.
- First Aid Certificate issued by "State Centres" or Provisional Certificates shall not be accepted.
- In case an Applicant, who has passed First Aid Certificate examination of a higher level, before expiry of validity of his old Certificate and submits copies of both old "Original First Aid" and new "Provisional First Aid" Certificates, then his Provisional First Aid Certificate shall be accepted. However the Provisional First Aid Certificate, which is more than 6 months old from its issue date, shall not be considered valid.
- The First Aid Certificate shall be duly signed by the Examiner. Any certificate having facsimile signature of the Examiner shall not be valid.
- First Aid Certificates without applicant’s Signature and seal of the Examiner shall not be valid.

Q.38 Questions On Practical Experience Certificates:
Ans:- Practical experience certificate submitted in any format, which is different/modified from the latest format prescribed/ notified in DGMS website, shall not be considered valid.
Additional information mentioned in a certificate for which no specific field/place has been provided in the prescribed format, shall be considered as a modification of the format of the said certificate and therefore the certificate shall be not be considered valid.

Details of all the fields in the prescribed format of Practical Experience Certificate shall be entered. Incomplete Certificates shall not be valid.

Practical Experience Certificates having mismatch in period of experience (mentioned in Page 1 & Page 2 of the certificate), overwriting, corrections, tampering, signature of issuing authority without date, etc., shall not be valid.

Issuing authorities:

- Any person employed / undertaking training in a Mine, shall obtain Practical Experience Certificate from the Manager of the Mine only, Experience Certificate issued to a person employed / undertaking training in a Mine, by the Owner or Agent or any other person, shall not be valid.
- Manager of a Mine, shall obtain Practical Experience Certificate from the Owner or Agent of the Mine, Experience Certificate issued to the Mine Manager by any other person shall not be valid.
- The Practical Experience Certificate shall be valid only if it clearly shows and has the Statutory Designation and Seal of the issuing authority respectively and not his Company Designation.

Practical Experience in a Coal mines with Average Monthly Output less than 1000 T/month or 10000 m³/month shall not be valid.

Practical Experience in a Metalliferous mines with Average Daily Employment less than 60 persons (for belowground mine) or 160 persons (for opencast mine) or 160 persons (In all) shall not be valid.

However the Practical Experience in an opencast mine with less manpower, may be considered, if permission for use of Heavy Earth Moving Machinery in the mine is granted under Regulation 106 of the MMR, 1961, for the entire period of Practical Experience and copy of the permission is submitted along with the Experience certificate.

The criteria of `minimum Average Daily Employment` shall not be applicable to the Applicants applying for Mining Mate & Blaster competency Examination.

The Practical Experience for Statutory Certificates on `Exemption Basis` shall be for the prescribed period after passing the minimum qualification as necessary for it.

The Practical Experience for Statutory Certificates on `Examination Basis` shall be for the prescribed period after the effective date of the type of Statutory Certificate(s) necessary to possess for the said examination.

In case of an Applicant applying for Overman Competency Certificate Examination, Practical Experience of minimum one month period in the capacity of `Sirdar/ Shot Firer` shall be submitted.

All Statutory Certificates shall be considered valid from the “Effective Date” mentioned on it and not from date of issue of the certificate, for the purpose of calculating period of statutory experience.

Q.39 Questions On Character Certificates:

Ans:- A valid Character Certificate shall contain the Name, Signature and Seal of issuing authority along with the date of issue.

The Character certificates having issue date within one year from the date of application (in case of Statutory Certificate on Exemption Basis) and the date of examination (in case of Statutory Certificate on Examination Basis), shall be considered valid.

Character certificates issued by Gazetted Officer/ Principal/ Head of the Institution/ Mine Manager shall be preferred.
Q.40 Questions On Medical Certificate:
Ans:- A valid Medical Certificate shall contain the Name, Signature, Registration Number and Seal of issuing Medical Practitioner along with the date of issue. Medical Certificates having facsimile signature of issuing Medical Practitioner shall not be valid.

- Medical Certificate issued only by a Registered Medical Practitioner (having M.B.B.S as minimum qualification) shall be valid.

Q.41 Questions On Fee Payment:
Ans:- Application fees, as prescribed for respective Statutory Certificates shall be paid through electronic mode by 'Bharatkosh' only. The payment shall be made, as per the guidelines detailed in the website (www.dgms.gov.in). Fee payment done by any other mode shall not be accepted.

- Applicants are required to ensure that, their application form reaches this Directorate within 21 days of fees payment made through "Bharatkosh". Any application received after completion of above period shall not be considered.

Q.42 Questions On Forms and Formats:
Ans:- The application forms, supporting documents (issued on or after 01-01-2020), certificates (issued on or after 01-01-2020) and affidavit, submitted by an applicant, shall be in the prescribed format as provided in the website (www.dgms.gov.in). Application and relevant documents which are not in format as prescribed shall not be accepted.

- Any additional information, for which no specific field/place is provided for in the prescribed format of a certificate, shall be considered as a modified format for the said certificate and therefore, shall be considered invalid.

Q.43 Questions On Affidavit:
Ans:- The "Name of Applicant", "Father’s Name" and "Date of Birth" on the Statutory Certificate being issued shall be as per the respective details in the SSC/ Matriculation Certificate.

Q.44 Questions On Applicant details on the Statutory Certificate applied for:
Ans:- The "Name of Applicant", "Father’s Name" and "Date of Birth" on the Statutory Certificate being issued shall be as per the respective details in the SSC/ Matriculation Certificate.

Q.45 Number of attempts for any Examination:
Ans:- Number of attempts for any Examination shall be the number of times the applicant has actually appeared in that examination after coming into force the applicable statute (i.e. the applicable bye-laws), however, it shall not include the number of times the applicant has been called for that examination.

- In order to count the number of attempts, the Examinations of Restricted and Unrestricted type of the same Statutory Certificate, shall be considered as separate examinations.

- For an Examination (consisting of one or more subjects), notified under the concerned Regulations, the number of attempt shall be counted as one attempt per Notified Examination, irrespective of the number of subjects attempted.

Q.46 Syllabus for Examinations:
Ans:- UNDER CMR 2017:

Web link -

- UNDER MMR 1961:
Q.47  Other general Questions:-

Ans:- Applications for all junior examinations such as, Gas testing, Sirdar’s, Mate’s, Blaster’s and Engine driver’s Competency Examinations, shall be made to the office of the Zonal Secretary, of respective DGMS Zonal office in which the District of applicant’s correspondence address belongs.

- Applications for all senior Certificates such as Overman’s, Foreman’s, Surveyor’s and Manager’s Competency Certificates, shall be made to the office of the Secretary (BME), DGMS (HQ), Dhanbad.

- Incomplete application/ Documents/ Certificates devoid of details / information such as incomplete Applicants Name, Applicants Signature with date, Signature & Seal of Competent/ Issuing Authority, Date of Issue, etc., shall not be accepted.

In case of application for Competency certificate on Examination basis, Character Certificate, Medical Certificate and Affidavit shall be submitted in

- original, all other documents required to be submitted with the application shall be self-attested copies.

- In case of application for Competency certificate on Exemption basis, all the documents required to be submitted shall be in original along with one set of Self-attested copies.

- Any original document submitted with the application, except the Character Certificate, Medical Certificate and Affidavit shall be returned back to the applicant.

- Application for a Statutory Certificate once rejected, shall not be considered any further. To be considered again, the Applicant shall have to submit afresh application along with all required documents and fees.

- The print copy of the authorisation/ call letters for written or oral examinations, downloaded from the official website (www.dgms.gov.in)/ email sent to the applicant’s mail id shall be valid if supported with an original valid Government photo ID card.

- The Notification of all the scheduled Statutory Examinations for Overman’s, Foreman’s, Surveyor’s and Manager’s Competency Certificates under the Coal and Metalliferous Regulations, shall be made in the official website (www.dgms.gov.in) and various newspapers across India.

- Abbreviations for various Statutory Certificates:

<table>
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<tr>
<th>Sl. No.</th>
<th>CERIFICATE ABBREVIATION</th>
<th>NAME OF CERTIFICATE</th>
<th>UNDER</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>GT</td>
<td>Gas testing certificate of competency (on Examination basis)</td>
<td>The Mines Act, 1952</td>
</tr>
<tr>
<td>2</td>
<td>BR</td>
<td>Blaster's Certificate of Competency (Restricted) (on Examination basis)</td>
<td>Metalliferous Mines Regulations, 1961</td>
</tr>
<tr>
<td>3</td>
<td>BU</td>
<td>Blaster's Certificate of Competency (Unrestricted) (on Examination basis)</td>
<td>Metalliferous Mines Regulations, 1961</td>
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<td>4</td>
<td>MR</td>
<td>Mining Mate's Certificate of Competency (Restricted) (on Examination basis)</td>
<td>Metalliferous Mines Regulations, 1961</td>
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<td>Mining Mate's Certificate of Competency (Unrestricted) (on Examination basis)</td>
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<td>5</td>
<td>MU</td>
<td>Mine Foreman's Certificate of Competency (Restricted)</td>
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<td>9</td>
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<td>10</td>
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<td>14</td>
<td>SMR-E</td>
<td>Second Class Manager's Certificate of Competency (Restricted) - (On Exemption basis)</td>
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<td>15</td>
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<td>Second Class Manager's Certificate of Competency (Unrestricted) - (on Exemption basis)</td>
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<td>Coal Mines Regulations, 2017</td>
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<td>OMR</td>
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<td>Coal Mines Regulations, 2017</td>
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Q.48 What type of publication is done by DGMS and how it can be accessed?
Ans:- DGMS makes the following publications:-
   - Standard reference Note published every year and available at DGMS Library;

Q.49 To whom the National Safety Awards (Mines) [NSA (Mines)] are given?
Ans:- National Safety Awards (Mines) are the awards given to the contesting mines every year by the Government of India to the best performing mines on parameters of performance of safety. There are two schemes namely Longest Accident Free Period (LAFP), Lowest Injury Frequency Rate (LIFR) on which Awards are given to Coal, Non-Coal and Oil Mines separately.

Q.50 Which mines are eligible for contesting in NSA(Mines) and what type of data required?
Ans:- All the mines are eligible to contest of National Safety Awards (Mines). Details of production, man shifts, accident details of last three years including contest year are required. The application for NSA(Mines) is submitted online for which notification is posted on DGMS web site.

Q.51 What are the different web modules available for use by stakeholders and how to access them?
Ans:- Online Permission, Exemption, Relaxation module; Approval Module; Accident Statistics module. These can be accessed through DGMS web site Shram-Suvidha Portal for online system of Inspection and reporting and also filing of online returns.

Q.52 How to register a mine on DGMS web master?
Ans:- The mine management can to apply for registration and submit details of mine like notice of opening etc., in prescribed proforma, to the regional office of this Directorate through e-mail or other means.

Q.53 What is LIN?
Ans:- LIN is a unique reference number allotted to mine; abbreviation is Labour Identification Number. A mine can obtain LIN after registering on Shram-Suvidha portal of Ministry of Labour & Employment.